

5-15-01

PTO/SB/05 (1/00)

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Approved for use through 10/31/2002. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY  
PATENT APPLICATION  
TRANSMITTAL

Only for new nonprovisional applications under 37 CFR 1.53(b)

Attorney Docket No. 15632 US

First Inventor or Application Identifier Kilian Schuster et al.

Title Method of Initiating a Security Procedure within a Building

Express Mail Label No. EL 684695527 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patents

Box Patent Application

Washington, DC 20231

- 1.
- ☒
- \*Fee Transmittal Form (e.g., PTO/SB/17)
- 
- (Submit an original, and a duplicate for fee processing)

- 7.
- ☐
- CD-ROM or CD-R in duplicate, large table or
- 
- Computer Program (Appendix)

- 2.
- ☐
- Applicant claims small entity status.
- 
- (See 37 CFR 1.27)

- 8.
- ☐
- Nucleotide and/or Amino Acid Sequence Submission
- 
- (if applicable, all necessary)

- 3.
- ☒
- Specification [Total Pages 11]
- 
- (preferred arrangement set forth below)

- a.
- ☐
- Computer Readable Form (CRF)

- b. Specification Sequence Listing on:

- i.
- ☐
- CD-ROM or CD-R (2 copies); or

- ii.
- ☐
- Paper

- c.
- ☐
- Statement verifying identity of above copies

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R&D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

- 4.
- ☒
- Drawing(s) (35 USC 113) [Total Sheets 1]

5. Oath or Declaration [Total Pages 2]

- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)  
[Note Box 5 below]

## DELETION OF INVENTORS

- i.
- ☐
- Signed statement attached deleting
- 
- inventor(s) named in the prior application, see
- 
- CFR §§ 1.63(d)(2) and 1.33(b).

- 6.
- ☐
- Application Data Sheet. See 37 CFR 1.76

## ACCOMPANYING APPLICATION PARTS

- 9. ☒ Assignment Papers (cover sheet & documents)
- 10. ☐ 37 CFR §3.73(b) Statement ☒ Power of Attorney  
(when there is an assignee)
- 11. ☐ English Translation Document (if applicable).
- 12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS  
Citations
- 13. ☐ Preliminary Amendment
- 14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
- 15. ☒ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
- 16. ☐ Request and Certificate under 35 U.S.C. 122(b)(2)(B)(i).  
Applicant must attach Form PTO/SB/35 or its equivalent
- 17. ☐ Other: \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

- ☐
- Continuation
- ☐
- Divisional
- ☐
- Continuation-in-part (CIP)

of prior Application No. \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_

Group/Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

- ☐
- Customer Number or Bar Code Label

or ☒ Correspondence address below

Insert Customer No. or Attach bar code label here)

Name	William J. Clemens				
	MacMillan, Sobanski, & Todd, LLC				
Address	One Maritime Plaza, Fourth Floor				
	720 Water Street				
City	Teledo	State	Ohio	Zip Code	43604
Country	U.S.A.	Telephone	734/542-9577	Fax	734/542-9569
Name (print/type)	William J. Clemens			Registration No. (Attorney/Agent)	26,855
Signature	<i>William J. Clemens</i>			Date	May 14, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comment on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

## Certificate of Mailing by Express Mail

"Express Mail" Mailing Label No. EL 684695527 US Date of Deposit May 14, 2001. I hereby certify that this paper or fee is being deposited in the United States "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Terry L. Fox

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**FEE TRANSMITTAL**

Patent fees are subject to annual revision.

**Complete if known**

Application Number	
Filing Date	
First Named Inventor	Kilian Schuster et al.
Examiner Name	
Group/Art Unit	
Attorney Docket No.	15632 US

TOTAL AMOUNT OF PAYMENT (\$ 750 )

**METHOD OF PAYMENT (check one)****FEE CALCULATION (continued)**

1. ☒ The commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit  
Acct. No. 50-0567  
Deposit  
Acct. Name MacMillan, Sobanski, & Todd, LLC

- ☐ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status  
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit Card ☐ Money Order ☐ Other

**FEE CALCULATION****1. FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	710
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) \$ 710

**2. CLAIMS**

Total Claims	Extra	Fee from Below	Fee Paid
10	- 20**	x 18/9 =	0
1	3**	x 80/40 =	0
Multiple Dependent = 270/135			

\*\*or # previously paid, if greater; for Reissues, see below

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 0

**3. ADDITIONAL FEES**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting a publication of SIR after Examiner action	
115	110	215	55	Extension for reply within 1 <sup>st</sup> month	
116	390	216	195	Extension for reply within 2 <sup>nd</sup> month	
117	890	217	445	Extension for reply within 3 <sup>rd</sup> month	
118	1,390	218	695	Extension for reply within 4 <sup>th</sup> month	
128	1,890	228	945	Extension for reply within 5 <sup>th</sup> month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40
146	710	246	345	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	
179	710	279	355	Request for Continued Examination	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$ 40

**SUBMITTED BY**

Typed or Printed Name

William J. Clemens

Signature

Date

**Complete (if applicable)**

Reg. No. 26,855

Deposit Account

User ID

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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